

		Extreme Risks							
		Risks that have increased in rating							
		Risks that have decreased from Extreme to High rating							
		Risks that have remained the same from last 1/4 report							
		New risks							
Appendix A									
Extreme Risks and Action Plan 2011/12									
Risk Identified									
Profile		Action Required to Address Risk	Target Date	Risk Category	Current position / progress	Status	Status	Current Status	
		in order to reduce the risk	for action completion	Strategic, Operational, Project	as at 08/09/2011	as at Dec 10	as at March 11	as at June 11	
1	Due to the nature of the project at the Midway Car Park, there is a risk of increased maintenance expenditure after 20 years of the current repairs as the life expectancy of the parts not currently being repaired will reduce	Midway Car Park - 25 year plan	There is a need to build a contingency into the financial plans of the council to enable these works to be undertaken. Also a planned inspection regime will be designed to take into account any defects/faults that could be repaired for the short term	Jun-11	Project	Works continuing on the Midway following a 6 month break	Extreme	Extreme	Extreme
2	ITC system failure - Systems failure results in breach of business continuity requirements, business impact assessments and potential loss of data / information.	ICT services	Build disaster recovery facility at Kidsgrove Town Hall - hardware and resilient link to Civic Offices to be put in place and then replication of critical software.	Sep-11	Operational	Test done in Aug 11 to look at replication data - major systems check done but still waiting for data from BIA's. The resilient link from KTH to Guildhall is working. Still to fine tune the 1GB link from Civic to KTH and KTH to Guildhall	-	-	Extreme
3	A risk has been identified regarding the failure to go ahead with the retail-led development of the Ryecroft	Town Centre Strategic Acquisition	There is a need to complete the refresh of the town centre strategic options appraisal, and to look at the business case regarding the future of the Civic Offices	Nov-11	Operational	Work ongoing	Extreme	Extreme	Moderate

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		in order to reduce the risk	for action completion	Strategic, Operational, Project	as at 08/09/2011	as at Dec 10	as at March 11	as at June 11	
4	A risk has been identified in respect of critical information being wrongly destroyed, not kept or can't be found	Managing Information Risks	Procedure manuals are to be produced to run alongside the Managing Information policies	Dec-12	Operational	Awaiting for approval of Managing Information policies	Extreme	Extreme	High
5	Failure to secure a development partner to deliver the retail-led development of the Ryecroft	Town Centre Strategic Acquisition	Business case to be prepared along with active pursual of development partner.	Dec-11	Operational	Target date for obtaining development partner is now Nov/Dec 11 due to involvement of Staffs CC as partners and the tendering process for external advisors to be able to build business case	Extreme	High	High
6	Failure to realise a market return on the council's capital investment	Town Centre Strategic Acquisition	A programme to be produced to procure a development partner and to commission a specialist to design and procure any necessary works of improvement	Mar-12	Operational	as above	High	High	High
7	Short term impact on town centre retail businesses	Town Centre Strategic Acquisition	Implementation of town centre street market improvement programme, encourage development of town centre business-led partnership, and actively pursue designation of Newcastle as a business improvement district.	Jun-12	Operational	No change from last report - Target date of street market upgrade amended to integrate with the lead-in time of the Hassall Street closure works and public consultation completed. Informal partnership with Business community established.	High	High	High

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8	A risk has been identified regarding the potential increase in the number of insurance claims in relation to the Midway Car Park if the works are not carried out	Midway Car Park - 25 year plan	No further actions have been identified for this risk, as it will incorporate a number of other further actions throughout the risk profile for the project relating to the maintenance/repair of the car park		Project	Phase 1 of the works complete. Phase 2 due to be commenced in March 11.	High	High	High
9	A risk has been identified in respect of the fabric of the St Giles & St Georges School building deteriorating.	Refurbishment of St Giles & St Georges	Trying to pool resources to enable the best possible scheme, and to establish a lease with the successful organisation to enable benefits to be brought to the community	Sept-11	Project	Prospective tenants undertaking architectural and conditions studies to establish preferred design/uses of the building as well as establishing more precise scheme sales.	High	High	High
10	A risk that as been identified in relation to the relocation of the market to Lower High Street is in respect of the possibility of flooding in that area resulting in financial implications and reputation damage	Relocation of Market	Review the drainage design plan once development is due to start to ensure that it is still fit for purpose	Jun-12	Project	Outcome of public consultation process to capture any necessary and possible further design revisions.	High	High	High

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11	There is a risk that the project would fail to get planning permission to build the Health & Wellbeing Centre	Jubilee2	Planning permission was agreed in relation to the build of Jubilee2, however the further action will be to discharge all the conditions that were put in place with this agreement. Once carried out - which will be throughout the build of the centre - the likelihood of permission changing should reduce to a minimum level	Dec-11	Project	Construction is now underway on the site - conditions are being met where required. Monthly project meetings with the contractor to ensure progress aligned with agreed programme including compliance with planning conditions.	High	High	High
12	Insufficient finance to realise/implement the Carbon Management Programme	Energy Efficiency, Climate Change Strategy and Carbon Management Plan	None identified		Project/Operational			High	High
13	Carbon Trust Programme Board fail to deliver on the Carbon Management Programme	Energy Efficiency, Climate Change Strategy and Carbon Management Plan	None identified		Project/Operational	Risk is still live, however there is new action to identify resources to carry out the delivery of the government targets, which has to be delivered annually		High	High